

Rev. 10/01

UV-217

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael D. Ellis  
Application No. : 09/974,666 Confirmation No.: 7521  
Filed : October 9, 2001  
For : SYSTEMS AND METHODS FOR CACHING  
DATA IN MEDIA-ON-DEMAND SYSTEMS  
Group Art Unit : 2152  
Examiner : Not Yet Assigned

New York, New York 10020  
November 30, 2001

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure  
Statement in the above-identified application. This  
Statement is submitted:

- ☒ [X] within three months of the application filing  
date;
- ☐ [ ] more than three months from the application  
filing date but before the mailing date of  
the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of  
this Statement requires no fee. However, if for any reason  
a fee is due, the Director is hereby authorized to charge  
payment of any fees required in connection with this



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Supplemental Information Disclosure Statement to Deposit  
Account No. 06-1075. A duplicate copy of this letter is  
transmitted herewith.

Respectfully submitted,

  
\_\_\_\_\_  
Pejman Sharifi  
Registration No. 45,097  
Attorney for Applicant  
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November 20, 2001  
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PATENTS  
UV-217

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Applicants : Michael D. Ellis et al.  
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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following documents: ...

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U.S. Patent Documents

Wolf et al.	5,461,415	10/24/95
Macrae et al.	6,052,145	04/18/00
Gordon et al.	6,208,335	03/27/01

Foreign Patent Document

R.O.C. Publication No. 247388	10/15/94
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Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

A copy of the written English translation of the aforementioned foreign patent document is also enclosed herewith in accordance with 37 C.F.R. § 1.98(3)(ii).

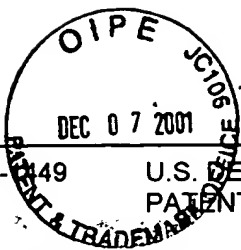
Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read 'Pejman Sharifi', is written over a horizontal line.

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTS

ATTY. DOCKET NO. UV-217	APPLICATION NO. 09/974,666
APPLICANTS Michael D. Ellis et al.	CONFIRMATION NO. 7521
FILING DATE October 9, 2001	GROUP 2152

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	5,461,415	10/24/95	Wolf et al.	348	7	
	6,052,145	04/18/00	Macrae et al.	348	10	
	6,208,335	03/27/01	Gordon et al.	345	327	12/03/97

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	247388	10/15/94	R.O.C.			X	

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.